

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,395-B)

PATENT

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TECH CENTER 1600/2000

In the Application of:

Lawn et al.

Serial No. 09/596,141

Filed: June 16, 2000

Title: Compositions and Methods for  
Increasing Cholesterol Efflux and  
Raising HDL Using ATP Binding  
Cassette Transporter Protein ABC1

Examiner:

Group Art Unit: 1646

H. B.  
Plunkett  
5/10/01

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Asst. Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, the Applicants wish to make the following reference listed on the enclosed Form PTO-1449 of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56.

Copy of the reference cited on the Form PTO-1449 is enclosed.

WO 00/55318 21 September 2000 PCT International

The Examiner is respectfully requested to consider the reference in its entirety and to so

indicate by initialing the appropriate box on the Form PTO-1449. By submission of the reference on the Form PTO-1449, no representation is intended as to the relative importance of any portion of the reference. Furthermore, such citation is not a representation that the listed reference has effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103. Applicants expressly reserve the right to establish that the aforesaid reference is not prior art, and/or is not art that is analogous or relevant to the claimed invention.

This Supplemental Information Disclosure Statement is being filed:


before the mailing date of a first Office action on the merits, pursuant to 37 C.F.R. § 1.97(b).

Please charge any underpayment or credit any overpayment in connection with this communication to Deposit Account No. 13-2490. A duplicate copy of this communication is enclosed for this purpose.

Respectfully submitted,

Dated: January 11, 2001

By:

  
Anita J. Terpstra  
Reg. No. 47,132  
McDonnell Boehnen Hulbert & Berghoff  
300 S. Wacker Drive  
Chicago, IL 60606

Telephone: 312-913-0001  
Facsimile: 312-913-0002

## PATENT

In re Application of:

Lawn et al.

Serial No.: 09/596,141

Filed: June 16, 2000

For: COMPOSITIONS AND METHODS FOR  
INCREASING CHOLESTEROL  
EFFLUX AND RAISING HDL USING  
ATP BINDING CASSETTE  
TRANSPORTER PROTEIN ABC1

Examiner:

Group Art Unit: 1646

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TECH CENTER 1600/2900

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

## TRANSMITTAL LETTER

1. We are transmitting herewith the attached:

- Supplemental Information Disclosure Statement (w/1 patent);
- PTO Form 1449; and
- Post Card

2. With respect to additional fees:

  x   A. No additional fee is required.

B. Attached is a check in the amount of \$0.00.

3. **General Authorization:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on this 11 day of January, 2001.

Dated: January 11, 2001

By :

Anita J. Terpstra  
Reg. No. 47,132

**McDONNELL BOEHNEN  
HULBERT & BERGHOF**  
300 South Wacker Drive, Suite 3200  
Chicago, Illinois 60606  
Telephone (312) 913-0001; Fax (312) 913-0002